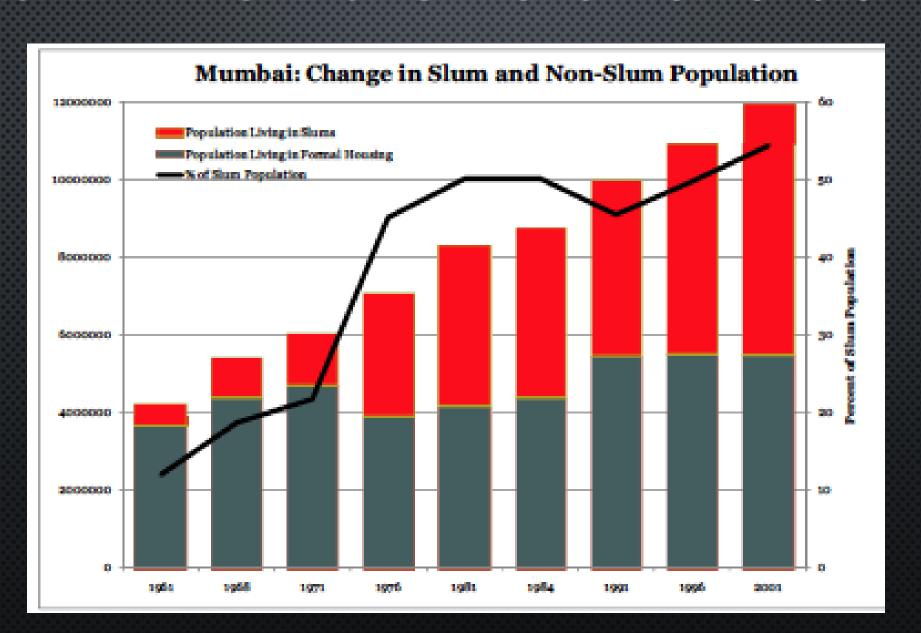
PLANNING FOR SLUMS IN MUMBAI SRA – ITS REPLICABILITY

VIDYADHAR PHATAK

PRESENTED AT 'REIMAGINING INCLUSIVE CITIES IN THE POST COVID ERA' AT CPR NEW DELHI 20TH SEPTEMBER 2021

BACKGROUND

MUMBAI - INCREASING PROPORTION OF SLUMS



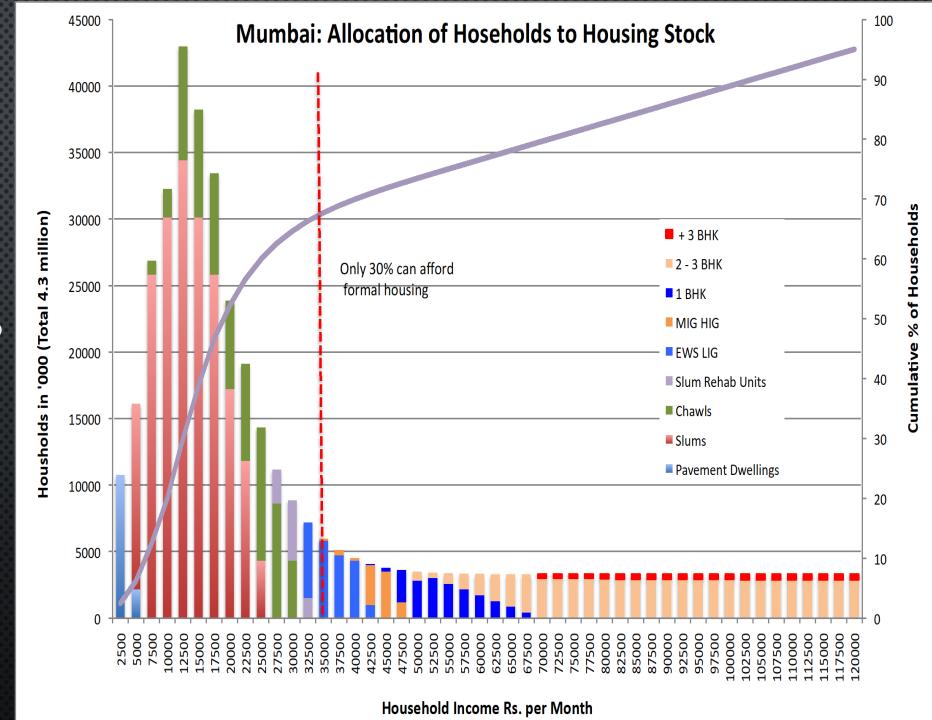
HOUSING MARKET: DEMAND

PER CAPITA GDP 2018-19

INDIA 126,521

MAHARASHTRA 193,755

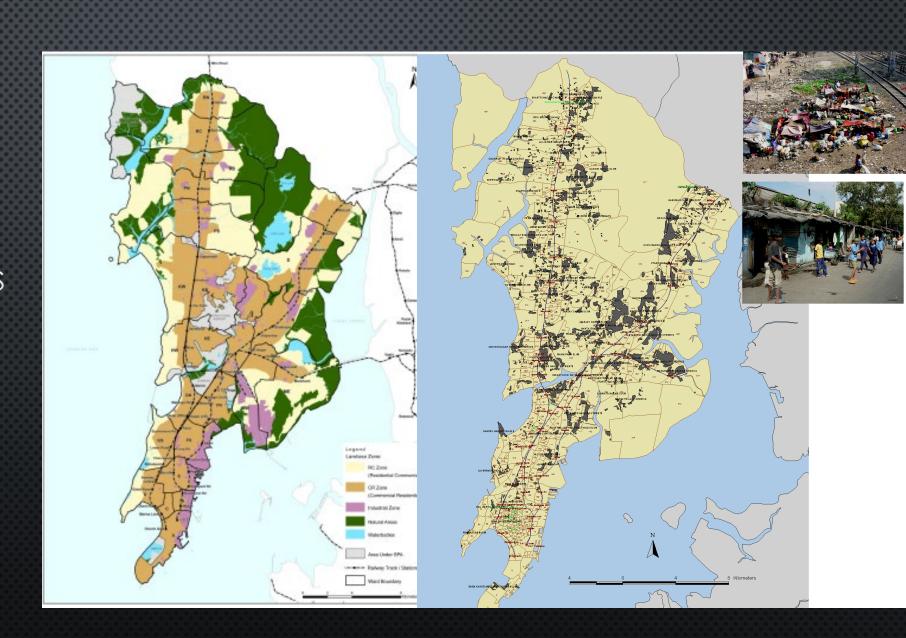
MUMBAI 353,500



HOUSING MARKET: SUPPLY CONSTRAINTS

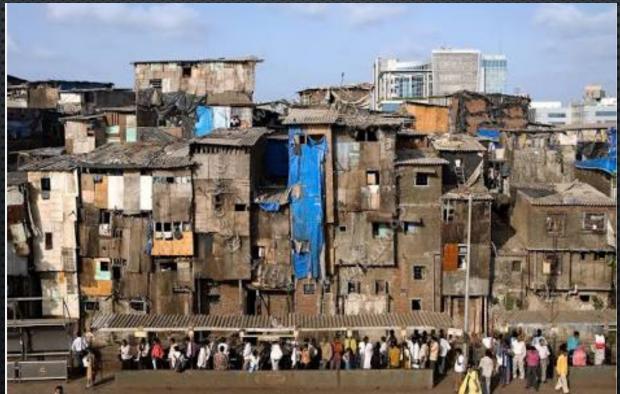
Natural limits on horizontal expansion

REGULATORY CONSTRAINTS
ON VERTICAL EXPANSION:
UNIFORM LOW FSI 1.33
AND 1.00





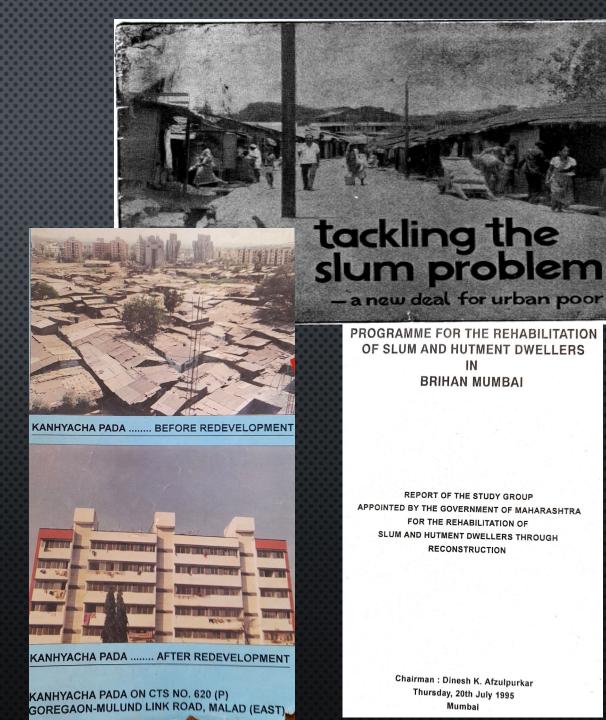




EVOLUTION OF POLICY

EVOLUTION OF SLUM POLICY

- 1950 SLUM CLEARANCE SCHEME
- 1970s 1980s slum improvement
- 1976 SLUM CENSUS PHOTO PASSES
- 1985 World Bank aided Slum Upgrading Program under BUDP
- 1991 SRD
- 1995-97 SRA
- 1995 R & R OF PAPS
- 2004 DHARAVI



PROGRAMME FOR THE REHABILITATION OF SLUM AND HUTMENT DWELLERS **BRIHAN MUMBAI**

REPORT OF THE STUDY GROUP APPOINTED BY THE GOVERNMENT OF MAHARASHTRA FOR THE REHABILITATION OF SLUM AND HUTMENT DWELLERS THROUGH RECONSTRUCTION

> Chairman: Dinesh K. Afzulpurkar Thursday, 20th July 1995 Mumbai

SRA POLICY

- 1995 a COMMITTEE RECOMMENDED SRA POLICY
- Instead of releasing constraint, proposed to use scarcity driven prices for inclusion
 - Slum rehabilitation 25 sqm (30 sqm)

 DU free of cost, transit

 ACCOMMODATION, RS. 20000

 CONTRIBUTION FOR MAINTENANCE
 - DEVELOPER ENTITLED FOR INCENTIVE DEVELOPMENT RIGHTS = REHAB FLOOR SPACE. CAN USE INCENTIVE AT SITE UP TO FSI 2.5 (3.0). REMAINDER AS TDR
- SEPARATE SRA TO REGULATE AND GRANT PERMISSION AND TDR
- POLITICAL ATTRACTION: FREE HOUSES HIGH VISIBILITY
- ADMIN ATTRACTION: OFF-BUDGET FINANCING



OUTCOMES

OUTCOMES

- PHYSICAL PRODUCT
 - THOUGH FLOOR SPACE OF [SLUMS: SALE] WAS 50:50, LAND FOR SLUM WAS 30% то 40%
 - BUILDINGS TOO CLOSE > POOR LIGHT / VENTILATION> HIGH INCIDENCE OF TB
 - PREVALENCE OF COVID NOT HIGHER
- PROGRESS SO FAR
 - 2.1 LAKH DU COMPLETED + 0.65 LAKH R & R AGAINST 12 TO 15 LAKH SLUM HH AND GROWING
 - SUSCEPTIBLE TO MARKET FLUCTUATIONS -MANY STALLED PROJECTS



LALIUBHAI COMPOUND



Buildings, high-rises report more Covid cases than slums in city

Fifth sero-surveillance report

Sample size: 8,674

Area: Across 24 administrative wards in Mumbai

Slum and non-slum sample the sample size (according to 20%: Healthcare workers

86.64%

Total percentage of people found with Covid-19 antibodies in the city (sero-prevalence)

KEY FINDINGS

87.02%

Sero-prevalence in slum areas in 24 wards

86.22%

Sero-prevalence in non-slum areas in 24 wards

90.26% Sero-prevalence among fully or partially vaccinated citizens in all 24 wards

79.86%

Sero-prevalence among citizens who have not been vaccinated at all

85.07%

Sero-prevalence among males

88.29%

Sero-prevalence among females

87.14%

Sero-prevalence in healthcare

REPLICABILITY

HOW LONG WILL IT TAKE TO REHABILITATE ALL SLUM DWELLERS?

		Numbers	Units
Α	Number of slum households in 2001	1,295,088	Households
В	Entitlement to a flat as per Govt policy	270	Sq ft
С	Total floor space entitlement for those living in slums in	349,673,760	Sq ft
	2001: AxB	347,073,700	34 11
D	Floor space allowed to be sold on the market per space provided to slum dwellers: 75–133%	102%	Average % allowed
Е	Total floor space sold on free market needed to provide floor space to those living in slums: DxC	356,667,235	Sq ft
F	Percentage of households that can afford a new formal unit	25%	
G	Total number of households in Mumbai	2,515,589	
Н	Number of households that can afford a new formal unit: FxG	628,897	
1	Annual new household formation	3.50%	
J	Total number of new formal units demanded per year: IxH	22,011	
K	Average new formal apartment size	700	Sq ft
L	Annual floor space in new formal apartments with potential to provide for affordable apartments: JxK	15,407,983	Sq ft
M	Annual yearly provision of affordable apartment units if ALL units use TDR L/B	57,067	Households
Ν	Number of years until all households in slums receive a TDR apartment: A/M	23	Years
0	Average construction cost per square foot	700	Rs
Р	Average implicit tax on new home purchasers for constructing extra floor space for the poor:(K/D))*O	480,392	Rs Per New Unit

REPLICABILITY OF MUMBAI MODEL

- APPARENT SUCCESS OF MUMBAI SRA MODEL IS DUE TO SUPPLY CONSTRAINTS — NATURAL AND REGULATORY
- POLICY CANNOT BE BASED ON CREATING SCARCITY OF DEV RIGHTS
- INCENTIVES CANNOT BE RELAXATION IN CONSTRAINTS
- COST OF SR IS NOT BORNE BY DEVELOPER BUT BY NEW HOME BUYER. IF A
 MARKET SALE HOME IS 2-3 BHK (90 M2) IT HAS TO FINANCE
 CONSTRUCTION COST OF 3 SR UNITS Rs. 22.5 TO 30 LAKHS. DIFFICULT TO
 GET SUCH BUYERS IN ADEQUATE NUMBERS.
- PROMISE OF FREE HOUSES COULD BECOME POLITICAL GOLDEN TRAP

THANK YOU

REAFFERENCES:

- 1. REPORT OF THE STUDY GROUP APPOINTED BY THE GOVERNMENT OF MAHARASHTRA FOR THE REHABILITATION OF SLUM AND HUTMENT DWELLERS THROUGH RECONSTRUCTION, 1995
- 2. Approach to Reducing Urban Slums in India, World Bank Working Paper 5475, 2010
- 3. Studying the association between structural factors and tuberculosis in the resettlement colonies in M-East ward, Mumbai, MMR Environment Improvement Society, 2018